



RECEIVED

OCT 15 2003

Patent

Attorney's Docket No.: 42P14868

In re the Application of: Green et al.

Application No.: 09/626,526

Filed: July 27, 2000

For: METHOD AND APPARATUS FOR FILTERING AN OPTICAL BEAM

(inventor(s)) MAIL ROOM
TC 2500

(title)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
 No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY	OTHER THAN A SMALL ENTITY
	Claims Remaining After Amd.		Highest No. Previously Paid For		Present Extra		Rate	Additional Fee
Total Claims	* 36	Minus	** 14		22		X9	\$
Indep. Claims	* 5	Minus	*** 6		0		X42	\$
First Presentation of Multiple Dependent Claim(s)								
							+135	\$
						Total Add. Fee	\$	
								Total Add. Fee \$ 396.00

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on October 4, 2002
Date of Deposit

Dominique Valentino
Name of Person Mailing Correspondence

Dominique Valentino 10-4-02
Signature Date

A check in the amount of \$ 144.00 is attached for presentation of additional claim(s).
 Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to 37 C.F.R. § 1.136(a).
 A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.
 Please charge my Deposit Account No. 02-2666 the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
 The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (**a duplicate copy of this sheet is enclosed**):
 Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.
 Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: October 4, 2002

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(206) 292-8600

R. Alan Burnett

R. Alan Burnett

Reg. No. 46,149



Attorney Docket No. 042390.P14868

RECEIVED

Patent

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

OCT 15 2002
TC 2800 MAIL ROOM

on October 4, 2002
Date of Deposit

Dominique Valenitno

Name of Person Mailing Correspondence

Dominique Valenitno

Signature

10-4-02
Date

Please do not enter
CPL 10/18/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Green et al.) Examiner: Jackson, C.
Serial No. 09/626,526) Art Unit: 2881
Filed: July 27, 2000)
For: METHOD AND APPARATUS FOR)
FILTERING AN OPTICAL BEAM)

Assistant Commissioner for Patents
Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

This preliminary amendment is made, in part, in response to an Office Action for co-pending Application Serial No. 09/626,537 mailed September 11, 2002. In the Office Action, the Examiner for the '537 application identified conflicting claims between the '537 application and the present application. Accordingly, the Applicants request the Examiner to enter the following amendments and to reconsider all pending claims in view of the amendment and the following remarks.

10/10/2002 HYUONG1 00000071 09626526

01 FC:103

396.00 OP